



Application for Trigger Facility

Scheme/ Name :

Investor ID No./Folio No./ Appln.No. :

Date of Transaction : (i.e. Date of acceptance)

DD / MM / YYYY

I / We would like to redeem/repurchase units from the _____ Scheme / Fund on reaching the trigger point owing to any of the following events.

I. Trigger Option:

Please tick () any one of the following trigger options:

1. Value Trigger:

As & when investment reaches value of Rs. _____ or above .

(Indicate the amount at which exit is sought)

2. Appreciation Trigger:

On capital appreciation of _____ % or above (Indicate the absolute % of growth at which exit is sought)

3. Date Trigger:

On the day of _____ (Indicate the exact date at which trigger is sought)

DD / MM / YYYY

4. Stop-Loss Trigger:

On reaching the depreciation of _____ % or below (Indicate the absolute % at which exit is sought)

II. Payment Options:

Please tick () any one of the payment options given below:

a. Pay amount equivalent to capital appreciation over and above value of my investment (applicable to option I (1) & (2) above)

b. Pay full repurchase proceeds repurchasing all the units (applicable to all the four options in I above)

Bank Details are mandatory. (Please fill in bank a/c details only if there is change or not given earlier)

Name of the Bank _____ Branch Address _____

Branch Pincode: _____

Account Type _____ A/c no. _____

MICR

No. _____

DECLARATION

I / We are aware that I / We can revise or cancel these instructions {Such requests will be effective after 5 working days(business days) of receipt by UTI Mutual Fund Branches or direct at office of Registrars}.



I / We are aware that since the scheme is open ended, I / We can repurchase units any time before the trigger of specific event.

I / We also note that once the payment is made by exercising the trigger option, the investment cannot be reinstated for any reason whatsoever. The payment made to me/us is final and binding.

I / We are aware that the value will be paid by repurchasing units at the repurchase price prevailing on the day following the day of event of trigger in the case of *value trigger*, *appreciation trigger* and *stop-loss trigger* and at the repurchase price prevailing on the specified date in the case of *date trigger*.

I / We are aware that the repurchase amount payable on account of trigger will be restricted to Rs. 10 lakhs only and the balance units if any will continue in the scheme, subject to maintaining minimum value of units prescribed under the scheme.

I / We understand that if the Trigger selected by me / us is not activated and / or implemented due to reasons which are beyond the control of UTI Mutual Fund, I / We would not hold UTI Mutual Fund responsible. Trigger Facility is only a facility extended by UTI Mutual Fund for the convenience of unit holders and does not form part of any scheme / fund objectives. I/We also understand that UTI Mutual Fund reserves the right to amend / terminate this facility at any time, keeping in view business/operational exigencies.

I / We have read and understood the terms and conditions given overleaf and accept the same. I / We agree to abide by the terms and conditions and opt for the Trigger Facility.

Date:	Signature of 1st unitholder	Signature of 2 nd Unitholder	Signature of 3 rd Unitholder
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Acknowledgement
(To be filled in by applicant)

Received request for Trigger Facility under _____ (Scheme name) in respect of Investor ID / Folio /Application No. _____ as under.
Trigger Option _____ Payment Option _____

Signature of Authorised Signatory with Office Seal

Terms and Conditions

1. The following are the four types of Trigger Options:

a. As & when investment reaches value of Rs. _____. (For example if Rs.10,000/- is invested and the unit holder wants to encash when the investment becomes Rs.15000/- he has to write Rs.15,000/- inside the boxes provided for amount in the application form.)



b. On capital appreciation of _____ % (Indicate in whole numbers like 10, 11 etc.) {For example if an investor invests Rs.10,000/- and wants to encash when the capital is appreciated by 10% (only appreciation amount) his units will be repurchased at the applicable repurchase price and paid 10% of capital appreciation i.e. Rs.1,000/-. He will be paid full repurchase value of his units if he opts for full repurchase of units}. Fractions indicated if any will be ignored.

c. Repurchase on the day of □□□□□□□□ (DD/MM/YYYY)

d. On depreciation of capital by _____ % (Indicate in whole numbers like 10, 11 etc.) {For example if an investor invests Rs.10,000/- and wants to encash when the capital is depreciated by 10%, the entire units will be repurchased and amount paid to the unitholder, when the value comes down to Rs. 9000/- or lesser}. Fractions indicated if any will be ignored.

2. Trigger Facility is available in the Schemes/Funds mentioned below:

Serial No	Name of the Scheme/Fund	Serial No	Name of the Scheme/Fund
1	UTI-Banking Sector Fund	11	UTI-Mastershare Unit Scheme
2	UTI-Contra Fund	12	UTI-Master Value Fund
3	UTI-Dividend Yield Fund	13	UTI-Mid Cap Fund
4	UTI-Energy Fund	14	UTI-MNC Fund
5	UTI-Equity Fund	15	UTI-Opportunities Fund
6	UTI-India Lifestyle Fund	16	UTI-Pharma & Healthcare Fund
7	UTI-Infrastructure Fund	17	UTI-Services Industries Fund
8	UTI-Leadership Equity Fund	18	UTI-Transportation & Logistics Fund
9	UTI-Master Plus Unit Scheme	19	UTI-Top 100 Fund
10	UTI-Nifty Index Fund	20	UTI-G-Sec Fund

* Schemes handled by M/s.DFSSL @As and when the scheme becomes open-ended.

3. A separate form request for trigger facility has to be made for each investment in a folio.

4. All Trigger requests will be accepted at UTI Mutual Fund Branches / Registrars handling the target scheme only.



5. For fresh applications, the trigger facility will be effective only after a gap of 5 working days (business days) from the date of acceptance in the Scheme/Fund. For existing investors in case of exercising automatic trigger facility at a later date, trigger facility will become operative after a gap of 5 working days (business days) from the date of receipt of the request.
6. Change / Cancellation of trigger will be effective only after a gap of 5 working days (business days) from the date of receipt of the request.
7. Trigger Facility is not available if the Folio / Certificate is under Lien or marked “ STOP ” on the advice of I.T Authorities / Court or any other reason.
8. Repurchase amount will be paid only to the first unit holder as per normal existing practice.
9. Each allotment of Accumulated Income Units (AIUs) / bonus units will be treated as a separate investment. Accordingly, a separate Trigger request for each allotment has to be made, if each AIU/ bonus allotment has to be brought under Trigger Facility.
10. Incomplete application form will be treated as invalid.
11. The unit holder holding Unit Certificates has to convert the unit certificate into Statement of Account (SOA) for availing Trigger Facility. Only after receipt of SOA the request for Trigger Facility can be made.
12. Trigger facility is available to the ‘individual’ as well as non-individual unitholders upto the payment value of Rs.10 lakh per event per folio(per investor id).
13. Units under trigger option can be repurchased fully or partially any time. In such event, the trigger facility will be automatically cancelled and the unitholder will be informed of the same while sending the repurchase cheque.

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