

**CLAIM UNDER RELAXED PROCEDURE
UTI MUTUAL FUND/SPECIFIED UNDERTAKING OF UTI
MUMBAI**

Date :
Name & Address of Applicant/s for

**DEATH CLAIMS OF UNITS – FORM OF APPLICATION
(PLEASE READ THE INSTRUCTIONS CAREFULLY)**

1. a. Name of the deceased
b. Date of Death
2. a. Unit Certificate Nos.
b. No. of units
3. Has the deceased made any will or other disposition regarding his property? If yes, does it cover the units referred to above?
4. Has any legal representation been obtained regarding the estate of the deceased or is proposed to be obtained? If so are these units included therein?

Sr. No.	Name	Age	Relationship with the deceased
---------	------	-----	--------------------------------

1 .
2 .
3 .

(A maximum of 3 legal heirs may be mentioned under this item)

6. Disclaimer :

I/We, the undersigned being the lawful heir/s of late Shri/Smt/Kum _____ do hereby state that I/We have no objection whatsoever to your transferring the unitstanding to the credit of the deceased or paying the amount of claim or repurchase proceeds in respect thereof to Shri/Smt/Kum _____ as applied for by him/her/them and I/We hereby give my/our full consent.

Sr. No.	Name	Age	Relationship with the deceased	Signature
---------	------	-----	--------------------------------	-----------

1 .

(N. B. The remaining legal heirs, i.e. those not mentioned under item 5 above should sign this disclaimer)

CERTIFICATE / ATTESTATION

I, Shri _____ do hereby certify that the aforesaid particulars are true and also certify that the above named Shri/Smt/Kum _____ died on _____ leaving behind his/her heirs as mentioned at Sr.No.5 above in accordance with the personal law by which he/she was governed. The consent given by the remaining heirs at Sr.No.6 above is of their own free will.

Dated this _____ day of _____ 20

Signature/s of the Claimant(s)

Signature and seal of Stipendary
Magistrate/Notary Public, etc.

(All Claimants mentioned under item 5 above should
sign here)

(PTO)

INSTRUCTIONS :

1. This application should accompany

- i. Unit Certificate(s) / Membership Certificate / Membership Advice / Statement of A/c. in original.
- ii. Death Certificate in original issued by Municipal/Local Authorities (or a copy certified to be true by a Magistrate/Notary Public.
- iii. An unstamped letter of undertaking duly signed by all the claimants and attested by one of the authorised officials mentioned under ii(a) below, if the face value of the units is Rs. 10000/- or less. If the face value exceeds Rs. 10,000/-, the indemnity will have to be stamped & executed with one or more sureties of adequate financial standing & attested by one of the officials mentioned under item ii(b) below.

For the purpose of stamping, Special Adhesive stamps of the requisite value may be affixed. Alternatively, the text may be typed on non-judicial stamp paper of like value.

A surety's financial standing will be treated as adequate if his annual income is at least one and half times the face value of the units OR he owns movable property (i.e. bank balance, shares, debentures, etc.) OR immovable property (i.e. land, building, flat) worth at least twice the face value of the units. Full details of movable/immovable property should be given in the Surety declaration form (Ann II) which should be witnessed by one of the officials mentioned therein.

iv. Form of Application (enclosed) for new Unit Certificates duly signed by the claimant and attested by the authorised official as given below, only if the claimants, desire a unit certificate in his/their names.

v. Otherwise, if the claimants desire payment of the repurchase amount the Unit Certificate(s)/Membership Certificate / Membership Advice / Statement of A/c may be discharged by all the claimants on the reverse & witnessed and attested by a Magistrate or a Notary Public or the Claimant's Bankers.

Note :

(i)(a) A minor cannot hold units jointly with an adult.

(b) In case of minor being either the claimant or one of the heirs, he/she has to be represented by a guardian i.e. either parent/step-parent or a Court Guardian if the scheme permits.

(ii) Certificate / Attestation to be obtained from/in presence of any of the under noted officials:

(a) If the Face Value of units is upto Rs. 10000/- : Magistrate (either stipendary or honorary)/gazetted officer of Central or State Government / Officer of IDBI / RBI / Manager of nationalised or State Co-operative Bank / M.P. / M.L.A./CR of UTI MF with CC/FO.

(b) If the Face Value of units exceeds Rs. 10000/- : Only by a stipendary Magistrate or by a judicial officer administering law. This includes Civil Judge, Notary Public, Labour Court Judge, Presiding Officer of Tribunals, etc.