

Systematic Transfer Investment Plan (STRIP)



Enrolment Form

For office use only

AGENT's Name and ARN	Sub Broker Code	MO Code	IH No.	Reporting Branch Name

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Date _____

I/We have read and understood the contents of the Scheme Information Document (SID) and Key Information Memorandum (KIM), addenda issued till date of the source scheme as well as destination scheme and the terms/conditions overleaf. I/We hereby apply for enrolment under STRIP and agree to abide by the terms and conditions of STRIP. I / We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements.

Name of the First / Sole Applicant

PAN Enclosed PAN Card Copy Email ID _____
Mobile No.

Name of the Second Applicant

PAN Enclosed PAN Card Copy Email ID _____
Mobile No.

Name of the Third Applicant

PAN Enclosed PAN Card Copy Email ID _____
Mobile No.

Name of the Guardian (in case of First / Sole Applicant is a minor)

PAN Enclosed PAN Card Copy Email ID _____
Mobile No.

* PAN (mandatory as per SEBI guidelines)

Folio No. of Source Scheme (for existing unitholder)

Source Scheme Application Number (If folio no. is not available for new investor)

Please note that it will take 7 days to Register STRIP

From Scheme Plan Option

To Scheme Plan Option

Transfer Frequency Daily No. of STRIPs Monthly # Quarterly #
(Please ✓) (Min. 20 Nos. and each transfer of Rs. 100/-) (Min. 12 Nos. and each transfer of Rs.1000/-) (Min. 4 Nos. and each transfer of Rs.3000/-)

Dates for Monthly or Quarterly option 01st 7th 15th 25th Transfer Period From To

Fixed Amount per Transfer in figures

If you wish to receive the following via e-mail Please (✓)

Account Statement Annual Report Transaction Confirmation Communication of change of address, bank details etc.

I / We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

* I / We confirm that we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO Account. I / We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund.

* Applicable to NRIs

(Signature) First/Sole Unit holder / Guardian

(Signature) Second Unit holder

(Signature) Third Unit holder

Acknowledgement of STRIP Application Form (To be filled in by the Unit holder)



(for existing unitholder) Folio No. _____

Received from Mr./Miss/Mrs : _____ STRIP application.

Amount of transfer per installment Rs. _____ From Scheme / Plan _____

to Scheme/Plan _____

Transfer Frequency	STRIP Date	Transfer Period From
<input type="checkbox"/> Daily		<input type="text"/> to <input type="text"/>
<input type="checkbox"/> Monthly	<input type="checkbox"/> 1st <input type="checkbox"/> 7th	Fixed Amount per Transfer
<input type="checkbox"/> Quarterly	<input type="checkbox"/> 15th <input type="checkbox"/> 25th	<input type="text"/> in figures

Date & Stamp of Receiving UFC

Features and Terms & Conditions

Systematic Transfer Investment Plan is a facility wherein investor can opt to transfer a fixed amount at regular intervals from one designated scheme to another designated scheme of UTI Mutual Fund.

Minimum amount of investment in the source scheme is Rs 12,000/-. This is applicable for the initial investment in the case of new investors and outstanding balance in the case of existing Unitholders.

Default Investment : In the "To Scheme" if no investment option is ticked default option will be Growth Option. If no dividend option type is selected, default option will be 'Reinvestment'. If no frequency is ticked, however date of transfer is ticked by the investor, then by default Monthly option will be considered.

Periodicity of transfer : STRIP facility is offered with the following three periodicities: Daily Periodicity on all business days, Monthly Periodicity and Quarterly Periodicity.

Minimum No. of Days for Registration of STRIP : The application for enrolment of STRIP should be submitted atleast 7 days prior to the commencement of first STRIP date.

Transfer of funds : Transfer of funds will take place from source scheme to destination scheme for the schemes mentioned below in the table.

Date of transfer : Unitholders will be eligible to transfer a fixed amount on Daily basis i.e. on every business day under Daily Periodicity and on a pre-specified date i.e. 1st or 7th or 15th or 25th of each month under the Monthly Periodicity and on 1st or 7th or 15th or 25th of each quarter under the Quarterly Periodicity.

Minimum amount of transfer : The minimum amount to be transferred is Rs. 100/- per business day under Daily Periodicity and Rs 1,000/- under the Monthly Periodicity and Rs 3,000/- under the Quarterly Periodicity. If no amount is selected, then the STRIP amount would be as per the minimum amount specified for each interval.

Maximum amount of transfer : There is no upper limit for transfer under both the periodicities.

Number of STRIPS : The minimum number of STRIP will be 20 under Daily Periodicity and 12 under Monthly Periodicity and 4 under Quarterly Periodicity. There will be no upper limit.

Transfer of amount : The transfer of amount from the source scheme to the destination scheme will be effected by redeeming the units of the source scheme at applicable NAV/ redemption price as on specified date and the amount will be converted into units as per applicable NAV/purchase price under the destination scheme as on the specified date.

Load : Load structure existing at the time of investment under source / destination scheme will be applicable under the respective schemes.

In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

No entry load shall be charged for direct applications received by the Asset Management Company (AMC) i.e. applications received through internet, submitted to AMC or collection centre that are not routed through any distributor/agent/broker. No entry load shall also be charged for additional purchases done directly by the investor under the same folio and switch-in to a scheme from other schemes if such a transaction is done directly by the investor.

Wherever the ARN/Code of a broker/IFA/sub-broker/distributor given in the application form has to be struck off, it has to be counter signed by the first applicant. In case the first applicant does not counter sign it the application will not be treated as a direct application.

For direct applications, the area for providing ARN/ Code of a broker/IFA/subbroker/ distributor should not be left blank. It should be marked "Direct" or "Not Applicable".

All Official Points of Acceptance will be available on the website of UTI Mutual Fund www.utimf.com.

No entry and exit load will be charged on Bonus Units issued and on Units allotted on Re-investment of Dividend.

Termination of STRIP : STRIP will automatically get terminated under the following conditions

- The unit holdings under the source scheme becomes nil or lower than minimum amount to be transferred as stipulated.
- In the case of death of the first unit holder
- If the unit holder wishes to terminate at any time by sending a written request to Official Points of Acceptance (OPA). Such notice will have to be sent at least 15 days prior to the due date of the next transfer date.
- If lien or pledge or STOP is marked against the units in the source scheme.
- If redemption is put for all units or entire amount during the STRIP transfer then the STRIP would cease off after units are redeemed.

GENERAL INSTRUCTIONS

- (i) The name of unitholders (1st, 2nd & 3rd) and their order should be identically mentioned in the source scheme application form as well as STRIP enrolment form.
- (ii) New Investor desiring to opt for STRIP facility is required to fill in source scheme application form as well as STRIP enrolment form.
- (iii) The provision of "Minimum Application Amount" and "Minimum Redemption Amount" if specified in the respective SID of source and destination scheme will not be applicable in the case of transaction through STRIP.
- (iv) STRIP request will not be registered for a folio, if it is already under Systematic Investment Plan (SIP), Monthly Withdrawal Plan (MWP) or Fixed Withdrawal Plan (FWP).
- (v) If the residual amount in 'From Scheme' is less than the scheme minimum or less than the transfer amount mentioned in the application form whichever is less, then the residual amount will be transferred with the last STRIP installment.
- (vi) If the total investment amount to be transferred is not mentioned then the entire invested amount will be transferred.
- (vii) UTI Asset Management Co. Ltd. reserves the right to reject STRIP mandate without assigning any reasons thereof.
- (viii) UTI AMC reserves the right to change / modify the terms & conditions of STRIP facility at any time.
- (ix) SoAs under STRIP-Daily Periodicity will be issued on a quarterly basis viz December, March, June & September respectively.
- (x) In case of investment of Rs.50,000/- and above, the investor is required to submit copy of KYC acknowledgment provided by service providers as per Prevention of Money Laundering Act, 2002, the rules notified thereunder and SEBI's guidelines on Anti Money Laundering.

List of Schemes where STRIP facility is available

STRIP facility is available under all Plans and Options of the below mentioned Scours Schemes.

Source Scheme	Destination Schemes (To any one of the schemes)
UTI Liquid Fund Cash Plan	UTI Mastershare Unit Scheme
UTI Money Market Fund	UTI Masterplus Unit Scheme
UTI Floating Rate Fund (STP)	UTI Equity Fund
UTI - Treasury Advantage Fund	UTI Contra Fund
UTI-Montly Income Scheme	UTI Infrastructure Fund
UTI-MIS-Advantage Plan	UTI Dividend Yield Fund
	UTI Services Industries Fund
	UTI Master Value Fund
	UTI Mid Cap Fund
	UTI Leadership Equity Fund
	UTI Top 100 Fund
	UTI MNC Fund
	UTI Opportunities Fund
	UTI Banking Sector Fund
	UTI Energy Fund
	UTI Pharma & Healthcare Fund

Source Scheme	Destination Schemes (To any one of the schemes)
	UTI Transportation & Logistics Fund
	UTI Equity Tax Savings Plan
	UTI Master Index Fund
	UTI Nifty Index Fund
	UTI Wealth Builder Fund Series - II
	UTI Variable Investment Scheme
	UTI Balanced Fund
	UTI Children's Career Balanced Plan
	UTI Mahila Unit Scheme
	UTI Retirement Benefit Pension Fund

ADDRESS OF REGISTRAR

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