

Instructions

1. This Know Your Client (KYC) form of UTI Mutual Fund is for use by **NON-INDIVIDUALS** only. A separate form is provided for individuals.
 2. The Application Form should be completed in English and in **BLOCK LETTERS**. Please tick in the appropriate box wherever applicable. Overwriting in the form is not allowed. Corrections if any should be counter-signed by the applicant.
 3. Applications incomplete in any respect are liable to be rejected.
 4. Applications which are not accompanied by legible documents shall be rejected.
 5. Submission of this Application Form is only for allotment of UMIN. UMIN must be used by the Applicant while investing in any UTI Mutual Fund Scheme.
 6. Applications complete in all respects should be submitted at the designated Points of Service (PoS) of UTI Mutual Fund. Submission of UMIN Application Form is in addition to the Application Form for subscription to any of the schemes of UTI Mutual Fund.
 - A. Applicant's Information
 1. **Name : This should match exactly with the name as mentioned in the supporting documents; otherwise the application is liable to be rejected. If the supporting document has a name by which the applicant has been known differently in the past, then requisite proof should be provided, e.g. Name Change Certificate.**
 2. Please mention the date of incorporation or registration of your organisation. If your company listed on National Stock Exchange of India Limited, please mention the same.
 3. Please indicate the Status as applicable.
 4. It is MANDATORY to mention your PAN in the space provided and attach a photocopy of the PAN Card or any other document issued by the Income Tax Department indicating the PAN.
 - B. Address Details
 1. **Please provide the address where you wish to receive all communication send by UTI Mutual Fund. Information relating to address(es) provided under the KYC form overleaf will supersede existing information and will be overwritten in the records of the Mutual Fund / Registrars and Transfer Agent to the Mutual Fund. UTI Mutual Fund will not be liable for any errors or omissions on the part of Unit holders in the KYC form.**
 2. Permanent Address / Registered Address / Overseas Address: FII's / other entities must quote the Permanent Overseas Address supported by required documents duly certified.
 3. Contact Details: Please provide the Contact Person's Name / Telephone / E-mail contact details for the UTI Mutual Fund to communicate with you.
 7. All documents indicated here are MANDATORY. Please ensure that you submit the document as under
 - A. Original Documents + Self attested photocopies duly verified by an officer of UTI AMC (P) Ltd or an Officer of UTI-TSL. (Originals will be returned over the counter after verification); OR
 - B. True copies attested in original by a Notary Public / Gazetted Officer / Manager of a Scheduled Commercial Bank (Name, Designation & Seal should be affixed).
Mere photo copy of an original document or of notarized copies are not adequate.
- If the above documents including attestation / certifications are in regional or foreign language, then the same has to be translated in English before submission.
8. Financial / Non Financial Transaction requests **must not** be submitted along with KYC forms. They must be submitted at any of the designated Official Points of Acceptance. Applicants / Unitholders must quote their UMIN along with **every** financial / non financial transaction.
 9. After allotment of UMIN, any change(s) in address (both address for correspondence & Permanent Registered address or any one such address) or change in name / status must be intimated to the designated PoS immediately along with required supporting documents, using the prescribed form for such changes, quoting the UMIN.
 10. UTI Mutual Fund reserves the right to seek additional information / documentation in terms of the Prevention of Money Laundering Act, 2002 at any point of time.
 11. In respect of existing unitholders, signature on the UMIN Application Form should match with that on the records of the Mutual Fund.
In respect of new investors, signature on the Application Form for investing / transacting in Mutual Fund should match with that on this UMIN Application Form.
 12. **Any single investment made for amounts equal to and greater than Rs. 50,000 will require a UMIN. Such Unitholders must quote their UMIN on the Application Form(s) / Transaction Slip(s) for investing with UTI Mutual Fund. Such investments not accompanied by the UMIN are liable to be rejected.**
 13. UTI Mutual Fund will, on a best effort basis ensure, the documents received in support of UMIN requirements are verified. In the event of any KYC form being rejected for lack of information / deficiency / insufficiency of mandatory documentation, investment amounts as specified under (12) above will be refunded at applicable NAV, subject to payment of exit load where ever applicable. Such refunds will be dispatched within a maximum period of 21 days from Date of Allotment of Units.
 14. UTI Mutual Fund, UTI Asset Management Company Private Limited, UTI Trustee Company Private Limited and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application/ non-allotment of units or mandatory redemption of units due to non-compliance with the provisions of the PML Act 2002, SEBI guidelines or where the AMC / Mutual Fund believes that transaction(s) by an applicant/unit holder is/are suspicious in nature within the purview of the PML Act., 2002 and SEBI guidelines and required reporting the same to Financial Intelligence Unit - India (FIU-IND).
 15. Applications for Financial Transactions and Non Financial Transactions, other than Change of Address / Name / Status / Signature must not be submitted at PoS along with MIN application forms, but submitted only at the designated Investor Service Centres / Official Points of Acceptance of UTI Mutual Fund .
 16. **It should be noted that only after such registration will the change be reflected in the UTI Mutual Fund records. Particularly with respect to change of address, investors should register such change giving 10 days time for the subsequent communications from UTI Mutual Fund to reach them at the new address.**

CHECKLIST

(Before submitting this form, please go through the following checklist)

1. Please ensure that the form is completed in all respects and signed by the authorised signatories.
2. Please attach all required documents. These should be either original + photocopies **or** attested / notarised photocopies.

For queries / clarification please call the nearest PoS
or
e-mail us at : www.utimf.com