



Let's plan to get rich UTI Mutual Fund

Application for Investment in UTI MF Schemes

Serial No.: _____

Distributor's details			
Name	ARN	Sub-broker Code	M O Code

Receiving Entity Information
CR / CA code

To,
UTI Mutual Fund, Mumbai 400 051.
Dear Sirs,

Bond ID No.: _____

I/We request you to invest the maturity proceeds of _____ bonds for Rs. _____ into the following Scheme/s of UTI Mutual Fund:
(No. of bonds) (No. of bonds x Rs. 100/-)

Scheme	Cheque No.	Date	Amount (Rs.)	Existing Folio, if any	Option (Please select any one)
<input type="checkbox"/> UTI Infrastructure Fund					<input type="checkbox"/> Growth <input type="checkbox"/> Dividend payout <input type="checkbox"/> Dividend Reinvestment
<input type="checkbox"/> UTI Leadership Equity Fund					<input type="checkbox"/> Growth <input type="checkbox"/> Dividend payout <input type="checkbox"/> Dividend Reinvestment
<input type="checkbox"/> UTI Balanced Fund					<input type="checkbox"/> Growth <input type="checkbox"/> Dividend PaYout <input type="checkbox"/> Dividend Reinvestment
<input type="checkbox"/> UTI Fixed Term Income Fund <input type="checkbox"/> Retail <input type="checkbox"/> Institutional					<input type="checkbox"/> Growth <input type="checkbox"/> Dividend payout <input type="checkbox"/> Dividend Reinvestment
<input type="checkbox"/> UTI Dividend Yield Fund					<input type="checkbox"/> Growth <input type="checkbox"/> Dividend payout <input type="checkbox"/> Dividend Reinvestment
<input type="checkbox"/> Any other scheme, please specify: UTI _____					<input type="checkbox"/> Growth <input type="checkbox"/> Dividend payout <input type="checkbox"/> Dividend Reinvestment

In case entire maturity proceeds are invested in more than one scheme the interest amount will be invested in the first scheme chosen by the bond holder.

Please note my personal details for the above investment:

Name of the 1st applicant/Guardian: _____ *PAN (Mandatory):

Date of Birth (in case of minor): _____

Tel. No.: _____ Mobile No.: _____ E-mail address: _____

Name of the 2nd applicant: _____ *PAN (Mandatory):

Name of the 3rd applicant: _____ *PAN (Mandatory):

***Please enclose self attested photocopy of the PAN Card.**

Mode of holding: Single Joint Any one or survivor

Bank particulars: [(For dividend/redemption payment) mandatory as per SEBI guidelines]

Bank Name: _____ Branch: _____

Address: _____ MICR code:

(this is a 9 digit number next to your cheque number)

City _____ IFS Code

Pin:

A/c Type: SB Current NRE NRO Account number:

Address: _____

City: _____ Pin: (Mandatory)

Nomination details (For more than one nominee use seprate form):

Name and address of nominee	To be furnished in case nominee is a minor
Name: _____	Name of the Guardian _____
Date of Birth: _____ (in case nominee is a minor)	Address of the Guardian _____
Address _____	Signature of Guardian: _____

I/we have read and understood the contents of the offer document, key information memorandum, addenda issued till date and apply to the Trustee of UTI Mutual Fund as indicated above. I/we agree to abide by the terms and conditions, rules and regulations of the scheme as on the date of investment. I/we undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements. I/we have not received nor has/have been induced by any rebate or gifts, directly or indirectly in making investments.

I/we confirm that we are Non-Residents of India Nationality / origin and that I/we undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund. (Applicable in case of NRI only)

Signature of 1st Applicant/Guardian

Signature of 2nd Applicant

Signature of 3rd Applicant

Name of the 1st Authorised Signatory

Name of the 2nd Authorised Signatory

Name of the 3rd Authorised Signatory

Designation

Designation

Designation

INSTRUCTIONS

- (a) Please read the terms of the Key Information Memorandum and the Offer Document carefully before filling the Application Form. Investors should also apprise themselves of the prevailing Load structure on the date of submitting the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
1. 1st bond holder under US64 Bonds and 1st applicant under the opted scheme/s should be the same.
 2. The date of acceptance for investment in UTI-MF scheme is the date on which the opted Scheme receives the application form for conversion alongwith conversion cheque and not the date of submission of bond certificates.
 3. The Statement of Account under the opted scheme will be sent within 30 days from the date of acceptance of the opted scheme.
 4. Where the investor is not eligible to invest in opted Scheme as per eligibility criteria, the application for UTI MF units will be cancelled and the conversion amount will be refunded within 30 days by the opted scheme of UTI MF.
 5. Please hand over the filled up forms to the nearest UTI Mutual fund office or to your distributor.
- (b) Before submission of application form at UTI Financial Centres and other authorised collection centres investor may please ensure that the form has been filled in completely and signed by all the applicants properly as incomplete application is liable to be rejected.
- (c) Please fill in the names of the applicant(s) / minor / institution / parent or lawful guardian/ alternate applicant/ nominee, etc. at the appropriate places in the application form. PIN code no. must be given with address to avoid delay / loss in transit.
- (d) It is mandatory for an applicant to furnish full and correct particulars of bank account such as nature and number of the account, name and address of the bank, name of the branch, MICR code of the branch (where applicable) etc. at the appropriate place in the application form. Application without such bank particulars is liable to be rejected. If the credit of dividend distribution, is delayed or not effected at all for reason of incomplete or incorrect information furnished by the applicant, UTI AMC cannot be held responsible.
- (e) SEBI has made it mandatory for all applicants, irrespective of amount of investment, to furnish Income Tax PAN. An application without PAN will be rejected. Investors are required to provide the photocopy (self attested by the investor) of the PAN card along with the application form. If the investment is in the name of minor, the PAN of the minor or his father/mother/guardian whose particulars are provided in the application form is to be provided.
- (f) In case of investment of Rs.50,000/- and above, investors are required to submit copy of the KYC acknowledgement provided by service provider as per Prevention of Money Laundering Act, 2002, the rules notified thereunder and SEBI's guidelines on Anti Money Laundering.

CHECK LIST

Please ensure that :

- Your name and address is given in full.
- Your preferred Scheme and Option are selected.
- Your investment is not less than the minimum investment amount.
- Your application is completed and signed by all applicants.
- PAN along with the copy/ies of PAN card are given, failing which your application will be rejected.
- Copy of KYC acknowledgement provided by service provider is given (wherever relevant), failing which your application will be rejected.
- Your bank account details are entered completely and correctly. This is mandatory. If this is not included, your application will be rejected.