

35P/MEM-COR/ 38/13-14

October 10, 2013

Dear Sir/ Madam,

Re : Submission of Annual Self Certification by the Distributors

This is further to our e-mail no. 35P/MEM-COR/35/ 12-13 dated January 14, 2013 on "Central Distributor Services" and our e-mail no. 35P/MEM-COR/12/13-14 dated May 16, 2013 on the captioned subject, wherein we had advised that the Annual Self Certification by the Distributors is to be submitted to the CAMS, AMFI Unit from the Financial Year ending March 2013 onwards in the prescribed format available on AMFI Website.

R & TAs shall update their records on the basis of information sent by CAMS, AMFI Unit in this regard.

It has been observed by CAMS that the distributors are submitting Annual Self Certification to different R & TAs, besides submitting to CAMS, AMFI Unit, resulting in receipt of multiple declaration forms from one distributor. AMCs are therefore requested to advise the distributors empanelled with them to submit Annual Self Certification in the prescribed format **ONLY** to CAMS, AMFI Unit, from the Financial Year ending March 2013 onwards and there is no need to resubmit the same separately to R & TAs.

Regards,

C G Parekh
Sr. Vice President

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